



Docket No.: 60188-831

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kenji ORITA

Serial No.: 10/820,055

Filed: April 08, 2004

For: SEMICONDUCTOR LIGHT-EMITTING DEVICE AND METHOD FOR
FABRICATING THE SAME

: Customer Number: 20277
:
: Confirmation Number: 7336
:
: Group Art Unit: 2811
:
: Examiner: not yet assigned
:

REQUEST FOR CORRECTED FILING RECEIPT

Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the Assignee's name is misspelled. Attached is a copy of the Utility Patent Application Cover Letter and a copy of the Assignment, which evidences that the Assignee's name should appear as:

MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.

It is requested that a corrected filing receipt be issued.

Respectfully submitted,

MCDERMOTT WILL & EMERY LLP


Michael E. Fogarty
Registration No. 36,139

600 13th Street, N.W.
Washington, DC 20005-3096
(202) 756-8000 MEF:jgh
Facsimile: (202) 756-8087
Date: July 8, 2004

**UTILITY PATENT APPLICATION
UNDER 37 CFR 1.53(b)**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR: Kenji ORITA
FOR: SEMICONDUCTOR LIGHT-EMITTING DEVICE AND METHOD FOR
FABRICATING THE SAME

Enclosed are:

- ☒ 27 pages of specification, claims, abstract.
- ☒ Declaration and Power of Attorney.
- ☒ Priority Claimed.
- ☒ Certified copies of Japanese Patent Application No. 2003-110113
Japanese Patent Application No. 2003-141710
- ☒ 12 sheets of formal drawing.
- ☒ An assignment of the invention to MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.
and the assignment recordation fee.
- ☒ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☒ Information Disclosure Statement, Form PTO-1449 and references.
- ☒ Return Receipt Postcard

The filing fee has been calculated as shown below:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	17	-20	0	\$18.00	\$0.00
Independent Claims	2	-3	0	\$86.00	\$0.00
Multiple Dependent Claim(s)					\$0.00
Basic Fee					\$770.00
Total of Above Calculations					\$770.00
Less ½ for Small Entity					\$0.00
Assignment & Recording Fee					\$40.00
Total Fee					\$810.00

- ☒ Please charge my Deposit Account No. 500417 in the amount of \$810.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 500417. A duplicate copy is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 500417. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

MCDERMOTT, WILL & EMERY


Michael E. Fogarty
Registration No. 36,139

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Date: April 8, 2004



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/820,055	04/08/2004	2811	770	60188-831	12	17	2

Jack Q. Lever, Jr.
McDERMOTT, WILL & EMERY
600 Thirteenth Street, N.W.
Washington, DC 20005-3096



CONFIRMATION NO. 7336

FILING RECEIPT



OC000000013047593

Date Mailed: 06/24/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Kenji Orita, Osaka, JAPAN; ✓

Assignment For Published Patent Application

→ MATASUSHITA ELECTRIC INDUSTRIAL CO., LTD.;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2003-110113 04/15/2003 ✓
JAPAN 2003-141710 05/20/2003 ✓

If Required, Foreign Filing License Granted: 06/23/2004

Projected Publication Date: 10/21/2004

Non-Publication Request: No

Early Publication Request: No

Title

/ Semiconductor light-emitting device and method for fabricating the same

RECEIVED

JUN 28 2004

McDERMOTT, WILL & EMERY

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

ASSIGNMENT

WHEREAS, Kenji ORITA
(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements
in SEMICONDUCTOR LIGHT-EMITTING DEVICE AND METHOD FOR FABRICATING
THE SAME

_____ for which the undersigned has (have):

- (a) filed an application for Letters Patent of the United States of America on
_____ having Serial No. _____; or
- (b) executed an application for Letters Patent of the United States of America on the date(s)
indicated below; and

WHEREAS, Matsushita Electric Industrial Co., Ltd. of
1006, Oaza Kadoma, Kadoma-shi, Osaka 571-8501, Japan
its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is
desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters
Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the undersigned in
hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the
undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer
unto the Assignee the full and exclusive right to the said invention in the United States of America and
its territories and for all foreign countries, dependencies and possessions and the entire right, title and
interest in and to the application and any and all Letters Patent(s) which may be granted therefor in the
United States of America and its territories, dependencies and possessions, and in and to any and all
divisions, reissues, continuations and extensions thereof for the full term or terms for which the same
may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application
and any continuing, divisional or reissue applications thereof and also to execute separate assignments
in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference
which may be declared concerning this application or any continuation, division or reissue thereof or
Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way
possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which
may be necessary in connection with claims under or provisions of the International Convention for the
Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to the Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

This Assignment has been executed by the undersigned on the date(s) indicated.

Date: April 6, 2004, *Kenji Orita*
Kenji ORITA

Date: _____, _____

Date: _____, _____

Date: _____, _____

Date: _____, _____

Date: _____, _____

Date: _____, _____

Date: _____, _____